

# Classical and Modern Operator Theory on the Bloch Space

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# Introduction

# A Motivating Example from Linear Algebra

Let  $A$  be an  $n \times n$  matrix with real entries. We define the operator  $L_A : \mathbb{R}^n \rightarrow \mathbb{R}^n$  by

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- The study of operators with symbols is called **Function-Theoretic Operator Theory**.
- The goal is to link properties of the symbol with properties of the operator.
- The perfect marriage of **(complex) Function Theory** and **Operator Theory**.

# Operators with Symbols

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$$\begin{aligned} W_{\psi, \varphi} f &= \psi(f \circ \varphi) \\ &= M_\psi C_\varphi f. \end{aligned}$$

# Operator Theory in Several Complex Variables

- In one variable, the open unit disk  $\mathbb{D}$  is the most common choice for a domain when studying the function theory of spaces of analytic functions and operators on such spaces.

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- Two common generalizations of  $\mathbb{D}$  in higher dimensions are:
  - ① The Unit Ball

$$\mathbb{B}_n = \left\{ (z_1, \dots, z_n) \in \mathbb{C}^n : \sum_{j=1}^n |z_j|^2 < 1 \right\}.$$

- ② The Unit Polydisk

$$\mathbb{D}^n = \{(z_1, \dots, z_n) \in \mathbb{C}^n : |z_j| < 1, j = 1, \dots, n\}.$$

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- Typically, a researcher will choose one of these two domains on which to study operator theory.

## Definition

A domain  $D$  in  $\mathbb{C}^n$  is called **homogeneous** if for every  $z$  and  $w$  in  $D$  there exists an automorphism (biholomorphic self-map of  $D$ )  $\varphi$  of  $D$  such that  $\varphi(z) = w$ .

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- The unit ball and unit polydisk are examples of homogeneous domains.
- So by studying spaces of holomorphic functions on bounded homogeneous domains, we bring together the study of spaces on the unit ball and unit polydisk.
- Each bounded homogeneous domain is endowed with a metric known as the **Bergman metric**, which is invariant under automorphisms.

## Definition

A domain  $D \subseteq \mathbb{C}^n$  is called **symmetric at**  $z_0 \in D$  if there exists an automorphism  $\varphi$  of  $D$  such that  $\varphi \circ \varphi$  is the identity map on  $D$  and  $z_0$  is an isolated fixed point of  $\varphi$ . The domain  $D$  is called **symmetric** if it is symmetric at each  $z \in D$ .

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- Every symmetric domain is homogeneous, and every homogeneous domain that is symmetric at a point is symmetric.
- The unit ball and unit polydisk are symmetric domains.
- Every symmetric domain can be written as a product of irreducible symmetric domains.

# Definitions and Notations

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- $H^\infty(D)$  denotes the Banach space of bounded holomorphic functions on domain  $D$  under the infinity norm  $\|f\|_\infty = \sup_{z \in D} |f(z)|$ .

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  - $B_\varphi(z) = \sup_{u \in \mathbb{C}^n \setminus \{0\}} \frac{H_{\varphi(z)}(J\varphi(z)u, \overline{J\varphi(z)u})^{1/2}}{H_z(u, \bar{u})^{1/2}}$ .

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- The **spectrum** of  $T : X \rightarrow X$  is

$$\sigma(T) = \{\lambda \in \mathbb{C} : T - \lambda I \text{ is not invertible}\},$$

where  $I$  is the identity operator on  $X$ .

# The Bloch Space

## Definition

A function  $f \in H(\mathbb{D})$  is called **Bloch** if

$$\beta_f := \sup_{z \in \mathbb{D}} (1 - |z|^2) |f'(z)| < \infty.$$

The **Bloch space** on  $\mathbb{D}$ , denoted by  $\mathcal{B}(\mathbb{D})$ , is a Banach space under the norm

$$\|f\|_{\mathcal{B}} = |f(0)| + \beta_f.$$

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## Examples of Bloch Functions

- Polynomials.
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- $\text{Log} \frac{1+z}{1-z}$ .

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Polynomials and bounded holomorphic functions are Bloch.

## Multiplication Operators on $\mathcal{B}$

## Theorem (Arazy, 82)

For  $\psi \in H(\mathbb{D})$ ,  $M_\psi$  is bounded if and only if  $\psi \in H^\infty(\mathbb{D})$  and

$$\sup_{z \in \mathbb{D}} \frac{1}{2} (1 - |z|^2) |\psi'(z)| \log \frac{1 + |z|}{1 - |z|} < \infty.$$

## Previous Research on $\mathcal{B}(\mathbb{D})$

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### Theorem (Ohno and Zhao, 01)

For  $\psi \in H(\mathbb{D})$ ,  $M_\psi$  is compact if and only if  $\psi \equiv 0$ .

## Previous Research on $\mathcal{B}(\mathbb{B}_n)$

Considering the Bloch space under the norm

$$\|f\| = |f(0)| + \sup_{z \in \mathbb{B}_n} (1 - \|z\|^2) \|\nabla(f)(z)\|,$$

Zhu characterized the bounded multiplication operators on  $\mathcal{B}(\mathbb{B}_n)$ .

### Theorem (Zhu, 04)

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- 3 Develop a unified operator theory for  $M_\psi$  in higher dimensions.
  - Characterize boundedness.
  - Determine operator norm estimates.
  - Determine the spectrum.
  - Characterize compactness.
  - Characterize the isometries.

# Multiplication Operators on $\mathcal{B}(\mathbb{D})$

## Theorem

Suppose  $\psi$  is the symbol of a bounded multiplication operator  $M_\psi$ . Then

$$\max\{\|\psi\|_{\mathcal{B}}, \|\psi\|_{\infty}\} \leq \|M_\psi\| \leq \max\{\|\psi\|_{\mathcal{B}}, \|\psi\|_{\infty} + \sigma_\psi\},$$

where

$$\sigma_\psi = \sup_{z \in \mathbb{D}} \frac{1}{2}(1 - |z|^2) |\psi'(z)| \log \frac{1 + |z|}{1 - |z|}.$$

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## Theorem

The multiplication operator  $M_\psi$  is an isometry if and only if  $\psi$  is a constant function of modulus 1.

## Theorem (Zhu, 01)

For  $z \in \mathbb{B}_n$ ,

$$\begin{aligned}\rho(0, z) &= \frac{1}{2} \log \frac{1 + \|z\|}{1 - \|z\|} \\ &= \sup\{|f(z)| : f \in \mathcal{B}(\mathbb{B}_n), f(0) = 0, \text{ and } \|f\|_{\mathcal{B}} \leq 1\}\end{aligned}$$

# Generalizing to Higher Dimensions

## Theorem (Zhu, 01)

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## Definition

Let  $D$  be a bounded homogeneous domain. For  $z \in D$ , let

$$\omega(z) = \sup\{|f(z)| : f \in \mathcal{B}(D), f(0) = 0, \text{ and } \|f\|_{\mathcal{B}} \leq 1\},$$

## Definition

For  $\psi \in H(D)$  and  $z \in D$ , let  $\sigma_\psi(z) = \omega(z)Q_\psi(z)$  and  $\sigma_\psi = \sup\{\sigma_\psi(z) : z \in D\}$ .

# Unified Theory for Multiplication Operators

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*If  $\psi \in H(D)$ , then  $M_\psi$  is bounded on  $\mathcal{B}(D)$  if and only if  $\psi \in H^\infty(D)$  and  $\sigma_\psi < \infty$ .*

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For  $\psi \in H(D)$  and  $z \in D$ , let  $\sigma_\psi(z) = \omega(z)Q_\psi(z)$  and  $\sigma_\psi = \sup\{\sigma_\psi(z) : z \in D\}$ .

## Theorem

*If  $\psi \in H(D)$ , then  $M_\psi$  is bounded on  $\mathcal{B}(D)$  if and only if  $\psi \in H^\infty(D)$  and  $\sigma_\psi < \infty$ .*

## Theorem

*Let  $\psi \in H(D)$ . If  $M_\psi$  is bounded on  $\mathcal{B}(D)$ , then*

$$\max\{\|\psi\|_{\mathcal{B}}, \|\psi\|_{\infty}\} \leq \|M_\psi\| \leq \max\{\|\psi\|_{\mathcal{B}}, \|\psi\|_{\infty} + \sigma_\psi\}.$$

## Theorem

Let  $\psi \in H(D)$  such that  $M_\psi$  is bounded on  $\mathcal{B}(D)$ . Then  $\sigma(M_\psi) = \overline{\psi(D)}$ .

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## Theorem

*Let  $\psi \in H(D)$ . Then  $M_\psi$  is compact on  $\mathcal{B}(D)$  if and only if  $\psi$  is identically zero.*

## Theorem

*Let  $D = D_1 \times \cdots \times D_k$  be a bounded symmetric domain with  $D_j \neq \mathbb{D}$  for all  $j \in \{1, \dots, k\}$ , and  $\psi \in H(D)$ . Then  $M_\psi$  is an isometry on  $\mathcal{B}(D)$  if and only if  $\psi$  is a constant function of modulus one.*

# Unified Theory for Multiplication Operators

## Theorem

*Let  $D = D_1 \times \cdots \times D_k$  be a bounded symmetric domain with  $D_j \neq \mathbb{D}$  for all  $j \in \{1, \dots, k\}$ , and  $\psi \in H(D)$ . Then  $M_\psi$  is an isometry on  $\mathcal{B}(D)$  if and only if  $\psi$  is a constant function of modulus one.*

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*Let  $\psi \in H(\mathbb{D}^n)$ . Then  $M_\psi$  is an isometry on  $\mathcal{B}(\mathbb{D}^n)$  if and only if  $\psi$  is a constant function of modulus one.*

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## Remark

We have a characterization of the isometric  $M_\psi$  for bounded symmetric domains in which all the factors are  $\mathbb{D}$  and none of the factors are  $\mathbb{D}$ . We, however, do not have a characterization for such domains where only some of the factors are  $\mathbb{D}$ .

# Weighted Composition Operators on $\mathcal{B}$

## Definition

For  $\psi \in H(\mathbb{D})$ ,  $\varphi$  an analytic self-map of  $\mathbb{D}$ , and  $z \in \mathbb{D}$ , let

$$s_{\psi,\varphi}(z) = \frac{1}{2}(1 - |z|^2) |\psi'(z)| \log \frac{2}{1 - |\varphi(z)|^2}, \quad s_{\psi,\varphi} = \sup_{z \in \mathbb{D}} s_{\psi,\varphi}(z),$$

$$\tau_{\psi,\varphi}(z) = \frac{1 - |z|^2}{1 - |\varphi(z)|^2} |\varphi'(z)| |\psi(z)|, \quad \tau_{\psi,\varphi} = \sup_{z \in \mathbb{D}} \tau_{\psi,\varphi}(z).$$

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## Theorem (Ohno and Zhao, 01)

Let  $\psi \in H(\mathbb{D})$  and  $\varphi$  be an analytic self-map of  $\mathbb{D}$ . Then

- 1  $W_{\psi,\varphi}$  is bounded on  $\mathcal{B}(\mathbb{D})$  if and only if  $s_{\psi,\varphi}$  and  $\tau_{\psi,\varphi}$  are finite.
- 2  $W_{\psi,\varphi}$  is compact on  $\mathcal{B}(\mathbb{D})$  if and only if  $W_{\psi,\varphi}$  is bounded and

$$\lim_{|\varphi(z)| \rightarrow 1} s_{\psi,\varphi}(z) = \lim_{|\varphi(z)| \rightarrow 1} \tau_{\psi,\varphi}(z) = 0.$$

## Theorem (Zhou and Chen, 05)

Let  $\psi \in H(\mathbb{B}_n)$  and  $\varphi$  be a holomorphic self-map of  $\mathbb{B}_n$ . Then  $W_{\psi, \varphi}$  is bounded on  $\mathcal{B}(\mathbb{B}_n)$  if and only if

- 1  $\sup_{z \in \mathbb{B}_n} |\psi(z)| B_{\varphi}(z) < \infty$ ;
- 2  $\sup_{z \in \mathbb{B}_n} (1 - \|z\|^2) \|\nabla(\psi)(z)\| \log \frac{2}{1 - \|\varphi(z)\|^2} < \infty$ .

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Let  $\psi \in H(\mathbb{B}_n)$  and  $\varphi$  be a holomorphic self-map of  $\mathbb{B}_n$ . Then  $W_{\psi,\varphi}$  is compact on  $\mathcal{B}(\mathbb{B}_n)$  if and only if  $W_{\psi,\varphi}$  is bounded and

- 1  $\lim_{\|\varphi(z)\| \rightarrow 1} |\psi(z)| B_{\varphi}(z) = 0$ ;
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## Theorem (Zhou and Chen, 05)

Let  $\psi \in H(\mathbb{D}^n)$  and  $\varphi$  a holomorphic self-map of  $\mathbb{D}^n$ . Then  $W_{\psi, \varphi}$  is bounded on  $\mathcal{B}(\mathbb{D}^n)$  if and only if

$$\sup_{z \in \mathbb{D}^n} \sum_{j,k=1}^n (1 - |z_j|^2) \left| \frac{\partial \psi}{\partial z_j}(z) \right| \log \frac{4}{1 - |\varphi_k(z)|^2} < \infty,$$

and

$$\sup_{z \in \mathbb{D}^n} |\psi(z)| \sum_{j,k=1}^n \left| \frac{\partial \varphi_k}{\partial z_j}(z) \right| \frac{1 - |z_j|^2}{1 - |\varphi_k(z)|^2} < \infty.$$

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and

$$\lim_{\varphi(z) \rightarrow \partial \mathbb{D}^n} |\psi(z)| \sum_{j,k=1}^n \left| \frac{\partial \varphi_k}{\partial z_j}(z) \right| \frac{1 - |z_j|^2}{1 - |\varphi_k(z)|^2} = 0.$$

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  - Characterize boundedness.
  - Determine operator norm estimates.
  - Characterize compactness.

# Weighted Composition Operators on $\mathcal{B}(\mathbb{D})$

## Definition

For  $\psi \in H(\mathbb{D})$ ,  $\varphi$  an analytic self-map of  $\mathbb{D}$ , and  $z \in \mathbb{D}$ , let

$$\sigma_{\psi,\varphi}(z) = \frac{1}{2}(1 - |z|^2) |\psi'(z)| \log \frac{1 + |\varphi(z)|}{1 - |\varphi(z)|}, \quad \sigma_{\psi,\varphi} = \sup_{z \in \mathbb{D}} \sigma_{\psi,\varphi}(z).$$

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## Theorem

Let  $\psi \in H(\mathbb{D})$  and  $\varphi$  an analytic self-map of  $\mathbb{D}$  which induce a bounded weighted composition operator. Then

- 1  $\|W_{\psi, \varphi}\| \geq \max \left\{ \|\psi\|_{\mathcal{B}}, \frac{1}{2} |\psi(0)| \log \frac{1 + |\varphi(0)|}{1 - |\varphi(0)|} \right\}.$
- 2  $\|W_{\psi, \varphi}\| \leq \max \left\{ \|\psi\|_{\mathcal{B}}, \frac{1}{2} |\psi(0)| \log \frac{1 + |\varphi(0)|}{1 - |\varphi(0)|} + \tau_{\psi, \varphi} + \sigma_{\psi, \varphi} \right\}.$

## Theorem

*Let  $\psi \in H(\mathbb{D})$  and  $\varphi$  an analytic self-map of  $\mathbb{D}$ . Then  $W_{\psi,\varphi}$  is bounded on  $\mathcal{B}(\mathbb{D})$  if and only if  $\psi \in \mathcal{B}(\mathbb{D})$ , and  $\sigma_{\psi,\varphi}$  and  $\tau_{\psi,\varphi}$  are finite.*

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## Theorem

Let  $\psi \in H(\mathbb{D})$  and  $\varphi$  be an analytic self-map of  $\mathbb{D}$ . Then  $W_{\psi,\varphi}$  is compact on  $\mathcal{B}(\mathbb{D})$  if and only if  $W_{\psi,\varphi}$  is bounded and

$$\lim_{|\varphi(z)| \rightarrow 1} \sigma_{\psi,\varphi}(z) = \lim_{|\varphi(z)| \rightarrow 1} \tau_{\psi,\varphi}(z) = 0.$$

## Definition

For  $\varphi$  a holomorphic self-map of  $D$ , and  $z \in D$ , let

$$T_\varphi(z) = \sup\{Q_{f \circ \varphi}(z) : f \in \mathcal{B}(D), \beta_f \leq 1\}.$$

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**Note.** For all  $z \in D$ ,  $T_\varphi(z) \leq B_\varphi(z)$ .

# Generalizing to Higher Dimensions

## Definition

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## Definition

For  $\psi \in H(D)$ ,  $\varphi$  a holomorphic self-map of  $D$ , and  $z \in D$ , let

$$\begin{aligned}\sigma_{\psi,\varphi}(z) &= \omega(\varphi(z))Q_\psi(z), & \sigma_{\psi,\varphi} &= \sup\{\sigma_{\psi,\varphi}(z) : z \in D\}, \\ \tau_{\psi,\varphi}(z) &= |\psi(z)| T_\varphi(z), & \tau_{\psi,\varphi} &= \sup\{\tau_{\psi,\varphi}(z) : z \in D\}.\end{aligned}$$

## Theorem

*Let  $\varphi$  a holomorphic self-map of  $D$ . If  $\psi \in \mathcal{B}(D)$ , and  $\sigma_{\psi,\varphi}$  and  $\tau_{\psi,\varphi}$  are finite, then  $W_{\psi,\varphi}$  is bounded on  $\mathcal{B}(D)$ .*

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## Theorem

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# Foundation for a Unified Theory for $W_{\psi,\varphi}$

## Theorem

*Let  $\varphi$  a holomorphic self-map of  $D$ . If  $\psi \in \mathcal{B}(D)$ , and  $\sigma_{\psi,\varphi}$  and  $\tau_{\psi,\varphi}$  are finite, then  $W_{\psi,\varphi}$  is bounded on  $\mathcal{B}(D)$ .*

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## Conjecture

*Let  $\psi \in H(D)$  and  $\varphi$  a holomorphic self-map of  $D$ . Then  $W_{\psi,\varphi}$  is bounded on  $\mathcal{B}(D)$  if and only if  $\psi \in \mathcal{B}(D)$ , and  $\sigma_{\psi,\varphi}$  and  $\tau_{\psi,\varphi}$  are finite.*

## Theorem

Let  $D$  be a bounded homogeneous domain. If  $\psi \in H(D)$  and  $\varphi$  a holomorphic self-map of  $D$  induce a bounded weighted composition operator  $W_{\psi,\varphi}$  on  $\mathcal{B}(D)$ , then

- 1  $\|W_{\psi,\varphi}\| \geq \max\{\|\psi\|_{\mathcal{B}}, |\psi(0)| \omega(\varphi(0))\}$ .
- 2  $\|W_{\psi,\varphi}\| \leq \max\{\|\psi\|_{\mathcal{B}}, |\psi(0)| \omega(\varphi(z)) + \tau_{\psi,\varphi} + \sigma_{\psi,\varphi}\}$ .

## Theorem

Let  $\psi \in H(D)$  and  $\varphi$  a holomorphic self-map of  $D$ . If

$$\lim_{\varphi(z) \rightarrow \partial D} \sigma_{\psi,\varphi}(z) = \lim_{\varphi(z) \rightarrow \partial D} \tau_{\psi,\varphi}(z) = 0,$$

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# Supporting Evidence for the Unified Theory

## Theorem

Let  $\psi \in H(\mathbb{B}_n)$  and  $\varphi$  be a holomorphic self-map of  $\mathbb{B}_n$ . Then  $W_{\psi,\varphi}$  is compact on  $\mathcal{B}(\mathbb{B}_n)$  if and only if  $W_{\psi,\varphi}$  is bounded and

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## Theorem

Let  $\psi \in H(\mathbb{D}^n)$ , and  $\varphi$  a holomorphic self-map of  $\mathbb{D}^n$ . Then the bounded operator  $W_{\psi,\varphi}$  is compact on  $\mathcal{B}(\mathbb{D}^n)$  if and only if  $W_{\psi,\varphi}$  is bounded and

$$\lim_{\varphi(z) \rightarrow \partial\mathbb{D}^n} \sigma_{\psi,\varphi}(z) = \lim_{\varphi(z) \rightarrow \partial\mathbb{D}^n} \tau_{\psi,\varphi}(z) = 0.$$

## Further Directions

- Complete the unification for  $W_{\psi, \varphi}$  on the Bloch space.

- Complete the unification for  $W_{\psi,\varphi}$  on the Bloch space.
- Unify the operator theory for  $M_\psi$ ,  $C_\varphi$ , and  $W_{\psi,\varphi}$  on the Hardy space defined on a bounded symmetric domain.

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- Unify the operator theory for  $M_\psi$ ,  $C_\varphi$ , and  $W_{\psi,\varphi}$  on the Besov space defined on a bounded symmetric domain.

Thank You For Coming!

