

## Federal Sponsor: FCOI Reporting Form

NOTE TO PERSON COMPLETING FORM: All information supplied on this form and other documentation provided to the federal sponsor becomes a public record. Remove all unnecessary data from management plan details when transcribing to this form or submitting data to the federal sponsor.

FCOI Type:  Initial     Revised – new FCOI     Revised – existing FCOI     Annual

Federal sponsor: Click or tap here to enter text.

Federal award ID: Click or tap here to enter text.

Project's PI/PD: Click or tap here to enter text.

Investigator with a FCOI: Click or tap here to enter text.

Institutional affiliation: Click or tap here to enter text.

Name of the entity with which the investigator has a FCOI: Click or tap here to enter text.

Value or estimated value and nature (e.g., equity, consulting fees, honoraria) of the financial interest:

Click or tap here to enter text.

Value cannot be readily determined through reference to public prices or other reasonable measures of fair market value.

Description of the relationship between the financial interest and the federally sponsored research, including the basis for the institution's determination that the financial interest conflicts with such research:

Click or tap here to enter text.

Key elements of the management plan:

1. Role and principal duties of investigator in the research project:
  - a. Click or tap here to enter text.
  - b. Click or tap here to enter text.
2. Conditions of the management plan:
  - a. Click or tap here to enter text.
  - b. Click or tap here to enter text.
3. How management plan will safeguard objectivity in research:
  - a. Click or tap here to enter text.
  - b. Click or tap here to enter text.
4. Confirmation of investigator's agreement to the management plan:
  - a. [Replace text as appropriate: The investigator signed a statement agreeing to the FCOI Committee's management plan on 6/19/2019.]
  - b. [Replace text as appropriate: The investigator also sent an email indicating that his shares of SOMECOMPANY were sold on 6/19/2019.]
  - c. Supporting documentation is attached.
5. How management plan will be monitored to ensure compliance:

- a. [Replace text as appropriate: The investigator has been advised to report any increases in existing or any new SFIs within 30 days of their acquisition or discovery.]
  - b. [Replace text as appropriate: The investigator will file a SFI Disclosure Form by June 30 of each year that the grant is active and attest by signature that they are in compliance with the initial management plan and any subsequent modifications that have occurred.]
6. Other pertinent information
- a. [Click or tap here to enter text.](#)
  - b. [Click or tap here to enter text.](#)

Annual FCOI report only:

Status:       Active                       Revised                       Closed

If revised or closed, explain changes to or additional FCOIs related to the management plan.

[Click or tap here to enter text.](#)

Completed by SOMEOFFICIALPERSON [Associate Vice Chancellor for Academic Affairs], a designated representative for UW-La Crosse.

SIGN: \_\_\_\_\_

PRINTED NAME: [Click or tap here to enter text.](#)

DATE: \_\_\_\_\_

For Reference Only